

Electronic Acknowledgement Receipt

EFS ID:	6775366
Application Number:	10694872
International Application Number:	
Confirmation Number:	4103
Title of Invention:	managing the execution of trades between market makers
First Named Inventor/Applicant Name:	Nigel J. Renton
Customer Number:	63710
Filer:	Glen Farbanish/LAURA ALTO
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	03-6171
Receipt Date:	08-JAN-2010
Filing Date:	28-OCT-2003
Time Stamp:	14:18:06
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	482
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	03-6171_100107_IDS.pdf	26870 304c33bbdc23d738e8185a8cc758b88187a- db305	no	3
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	03-6171_100107_1449.pdf	25996 cd1820b65818aded7c377c9c45d3d11302- 2d6c42	no	1
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	WO01_084419.pdf	899098 d817c0d81ed05c5d111904c23012ad360300- 31798	no	19
Warnings:					
Information:					
4	NPL Documents	AU_2004288525_Exam_Report_11-09-2009.pdf	90349 716dd8022c30bdc09a0bb565b15170c9632c- 2d6c42	no	2
Warnings:					
Information:					
5	NPL Documents	10-895668_OA_02-25-2008.pdf	775184 5615b7250bdc19b0888768a13c5d4d17a- 3c3e3	no	24
Warnings:					
Information:					
6	NPL Documents	10-895668_OA_12-24-2008.pdf	343553 78e128711977217d1d322c9c3196683e16c0- 0d8d	no	10
Warnings:					
Information:					
7	NPL Documents	10-895668_OA_10-28-2009.pdf	1073336 a8be0f6465086c770d1316c482203c0ff7104- 7361	no	30
Warnings:					
Information:					

8	Fee Worksheet (PTO-875)	fee-info.pdf	30170 <small>#001c0ed0c0c0428bced0ba5627b1543bf09c903</small>	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			3264556		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					